Complexity Theory

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Lecture 1

Introduction

Agenda

- computational complexity and two problems
- your background and expectations
- organization
- basic concepts
- teaser
- summary

Computational Complexity

- quantifying the efficiency of computations
- not: computability, descriptive complexity, ...
- computation: computing a function $f : \{0,1\}^* \to \{0,1\}^*$
  - everything else matter of encoding
  - model of computation?
- efficiency: how many resources used by computation
  - time: number of basic operations with respect to input size
  - space: memory usage

Dinner Party

Example (Dinner Party)

You want to throw a dinner party. You have a list of pairs of friends who do not get along. What is the largest party you can throw such that you do not invite any two who don't get along?

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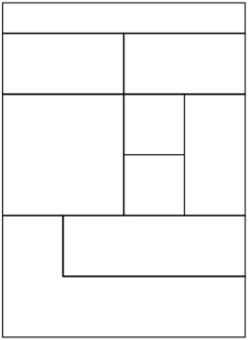
- largest party?
- naive computation
  - check all sets of people for compatibility
  - number of subsets of n element set is 2^n
  - intractable
- can we do better?
- observation: for a given set compatibilty checking is easy

Map Coloring

Example (Map Coloring)

Can you color a map with three different colors, such that no pair of adjacent countries has the same color. Countries are adjacent if they have a non-zero length, shared border.



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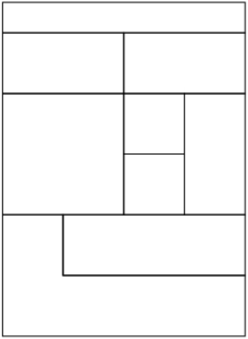

- naive algorithm: try all colorings and check
- number of 3-colorings for n countries: 3^n
- can we do better?
- observation: for a given coloring compatibilty checking is easy

What about you?

- What do you expect?
- What do you already know about complexity?
- behavior in class?
- code of conduct?
- immediate feedback

Organization

- lecture in English
- course website:
  `http://www7.in.tum.de/um/courses/complexity/SS10/`
- two lectures per week
  - Tuesdays, 8.30–10.00, 00.08.038
  - Wednesdays, 8.30–10.00, 00.08.038
- tutorial: Wednesdays, 16.00-17.30, 03.09.014 starting next week
- tutor: Michael Luttenberger
- weekly exercise sheets, not mandatory

Literature

- lecture based on Computational Complexity: A Modern Approach by **Sanjeev Arora** and **Boaz Barak**
- book website:
  `http://www.cs.princeton.edu/theory/complexity/`
- useful links plus freely available draft
- lecture is self-contained
- more recommended reading on course website

Assessment

- written or oral exam, depending on number of students
- 10x10-tests
  - app. 10 times, we will have a 10 minute mini test
  - happens during lectures, un-announced, covers 2-4 lectures
  - self-assessment and feedback to us
  - if C is ratio of correct answers, exam bonus computed by

$$\frac{\lceil 5C - 1 \rceil}{2}$$

- in case of a written exam, grading is according to the table below

Σ Points	Grade	Σ Points	Grade
$[0, 5)$	5,0	$(26, 28]$	2,7
$[5, 11)$	4,7	$(28, 30]$	2,3
$[11, 17)$	4,3	$(30, 32]$	2,0
$[17, 19]$	4,0	$(32, 34]$	1,7
$(19, 22]$	3,7	$(34, 36]$	1,3
$(22, 24]$	3,3	$(36, 40]$	1,0
$(24, 26]$	3,0		

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Prerequisites

- sets, relations, functions
- formal languages
- Turing machines
- graphs and algorithms on graphs
- little probability theory
- Landau symbols

Landau symbols

- characterize asymptotic behavior of functions (on integers, reals)
- ignore constant factors
- useful to talk about resource usage

Landau symbols

- characterize asymptotic behavior of functions (on integers, reals)
- ignore constant factors
- useful to talk about resource usage
- upper bound: $f \in \mathcal{O}(g)$ defined by
  $\exists c > 0.\ \exists n_0 > 0.\ \forall n > n_0.\ f(n) \leq c \cdot g(n)$
- dominated by: $f \in o(g)$ defined by $\forall \varepsilon > 0.\ \exists n_0 > 0.\ \forall n > n_0.\ \frac{f(n)}{g(n)} < \varepsilon$
- lower bound: $f \in \Omega(g)$ iff $g \in \mathcal{O}(f)$
- tight bound: $f \in \Theta(g)$ iff $f \in \mathcal{O}(g)$ and $f \in \Omega(g)$
- dominating: $f \in \omega(g)$ iff $g \in o(f)$

Intractability

POLYNOMIAL

versus

EXPONENTIAL

- computations using exponential time or space intractable for all but the smallest inputs
- for a map with 200 countries: app. $2.66 \cdot 10^{95}$ 3-colorings
- atoms in the universe (wikipedia): $8 \cdot 10^{80}$
- computational complexity: tractable vs. intractable
- tractable: problems with runtimes $\bigcup_{p>0} \mathcal{O}(n^p)$
- intractable: problems with runtimes $\mathcal{O}(2^n)$
- independent of hardware

What about our examples?

- dinner party problem tractable?
- map coloring problem tractable?
- lower bounds on time/space consumption
- upper bounds on time/space consumption
- which is harder?

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- each person a node, each relation an edge
- find a maximal set of nodes, such that no two nodes are adjacent

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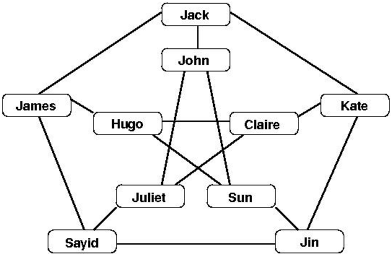

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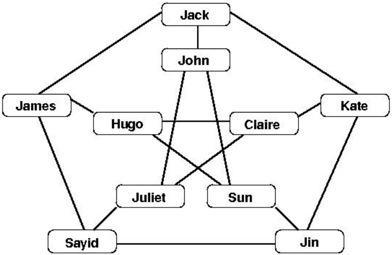

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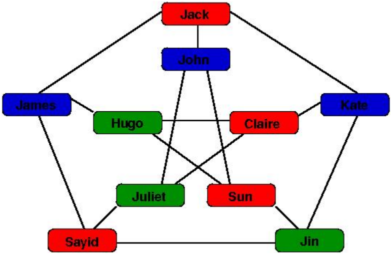

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- here: maximal independent set of size 4

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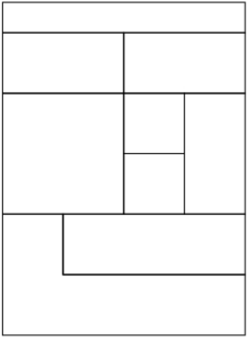

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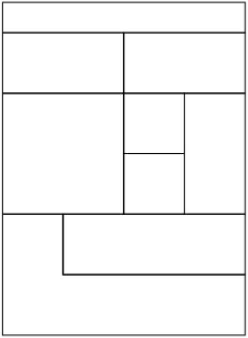

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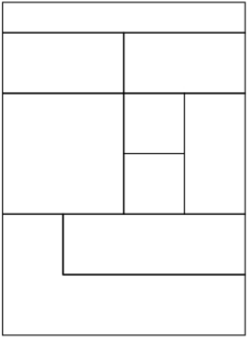

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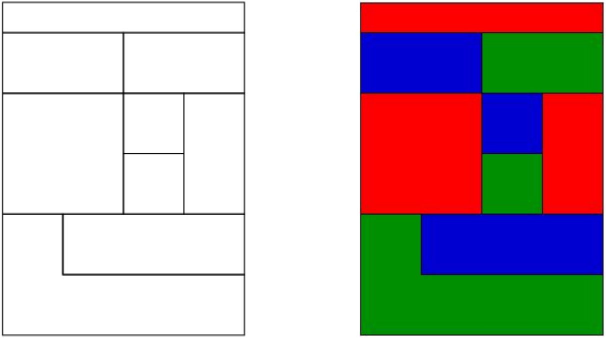

- really a graph problem
- each country a node, each border an edge
- color each node such that no two adjacent nodes have same color
- the three coloring problem: 3−Coloring
- probably not tractable, no algorithm better than naive one known
- here: answer is yes

Bounds

- upper bounds
  - time (naive algorithm): $\mathcal{O}(2^n)$ for n persons/countries
  - space (naive algorith): $\mathcal{O}(n^p)$ for n persons/countries and a small p

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- upper bounds
  - time (naive algorithm): $\mathcal{O}(2^n)$ for n persons/countries
  - space (naive algorith): $\mathcal{O}(n^p)$ for n persons/countries and a small p
- lower bounds
  - very little known
  - difficult because of infinitely many algorithms
  - both problems could have a linear time and a logarithmic space algorithm
  - but not simultaneously

Which is harder?

- instead of tight bounds say which problem is harder
- $\Rightarrow$ reductions

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- $\Rightarrow$ reductions

IF
- there is an efficient procedure for problem A and
- and an efficient procedure for B using the procedure for A

THEN B cannot be radically harder than A

notation: $B \leq A$

3−Coloring $\leq$ Indset

How can we solve 3−Coloring using an algorithm to solve Indset?

$3-$Coloring $\leq$ Indset

How can we solve $3-$Coloring using an algorithm to solve Indset?

- for same map (graph) find a maximal independent set efficient

3−Coloring $\leq$ Indset

How can we solve 3−Coloring using an algorithm to solve Indset?

- for same map (graph) find a maximal independent set — efficient
- remove independent set — efficient

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- remaining graph: check whether it is bipartite — linear

3−Coloring ≤ Indset

How can we solve 3−Coloring using an algorithm to solve Indset?

- for same map (graph) find a maximal independent set — efficient
- remove independent set — efficient
- remaining graph: check whether it is bipartite — linear

Need to ensure: procedure returns **yes** if and only if the graph is 3-colorable.

Polynomial certificates: NP

- whole class of problems can be reduced to Indset
- all of them have polynomially checkable certificates
- characterizes (in)famous class **NP**
- all problems in **NP** reducible to Indset makes Indset **NP**-hard.
- 3−Coloring also **NP**-hard
- no polynomial-time algorithms known for **NP**-hard problems
- not all problems have polynomial certificates, e.g. winning strategy in chess

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Lots of things to explore

- precise definition of computational model and resources
- problems with polynomial time checkable certificates
- space classes
- approximations
- hierarchies (polynomial, time/space tradeoffs)
- randomization
- parallelism
- average case complexities
- probabilistically checkable proofs
- (quantum computing)
- (logical characterizations of complexity classes)
- a bag of proof techniques

What have we learnt?

- polynomial $\sim$ tractable; exponential $\sim$ intractable
- lower bounds hard to come by
- reductions key to establish relations among (classes of problems)
- **NP**: polynomially checkable certificates
- Indset $\in$ **NP**, 3−Coloring $\in$ **NP**