

State Complexity of Population Protocols

Javier Esparza

Joint work with Michael Blondin, Philipp Czerner, Blaise Genest, Roland Guttenberg, Martin Helfrich, Stefan Jaax, and Jérôme Leroux



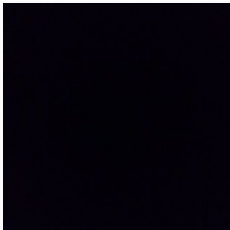
An example: Deaf Black Ninjas in the Dark

- Deaf Black Ninjas meet at a Zen garden in the dark to attack a castle
- They'll only attack if at least 100 ninjas show up



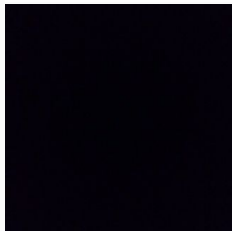
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- **How can they find out?**



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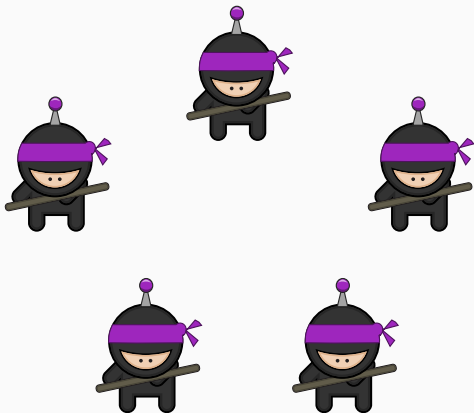
- **When two ninjas bump into each other, one of them gives the other all their pebbles.**

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- **Ninjas who know they are at least 100 spread the word.**

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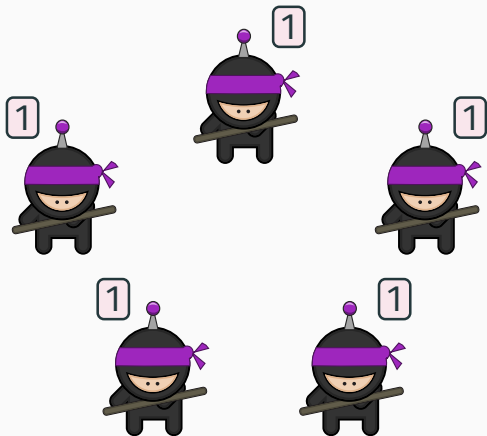
Protocol for: At least 4 ninjas?



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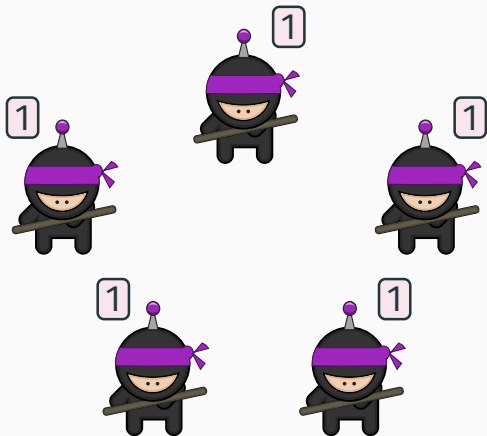
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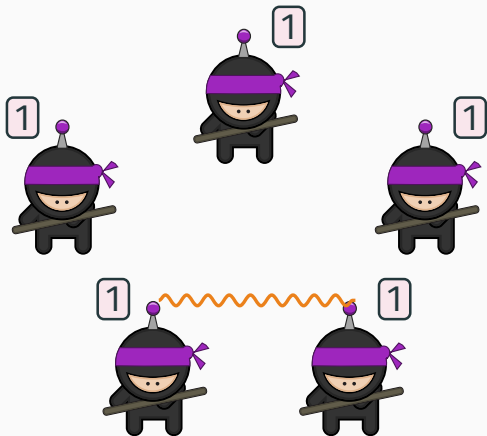
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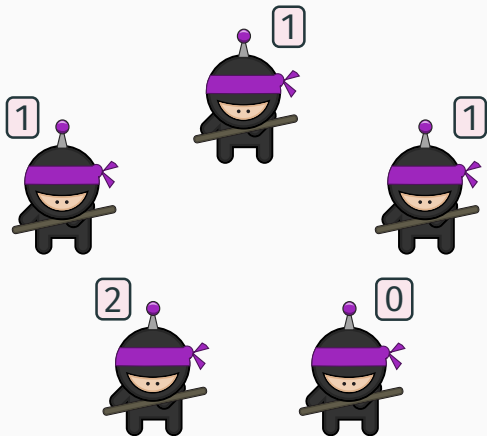
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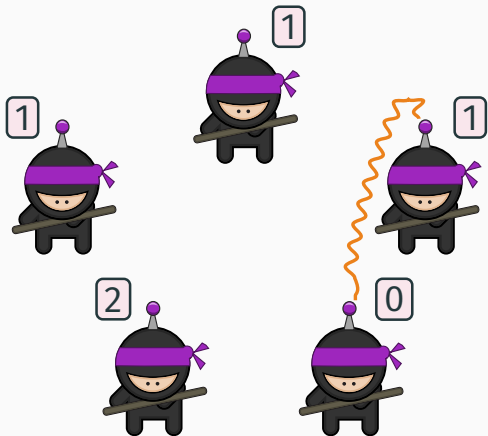
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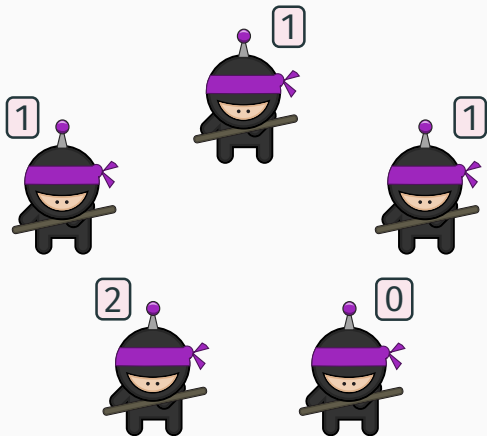
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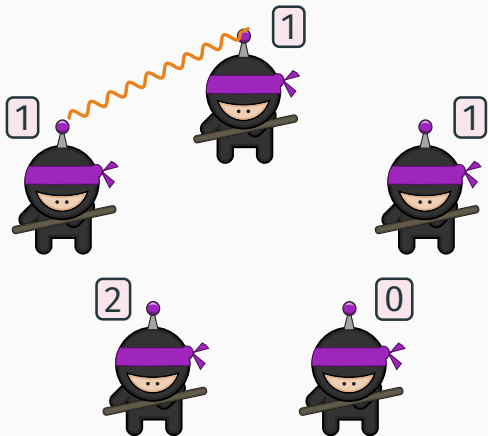
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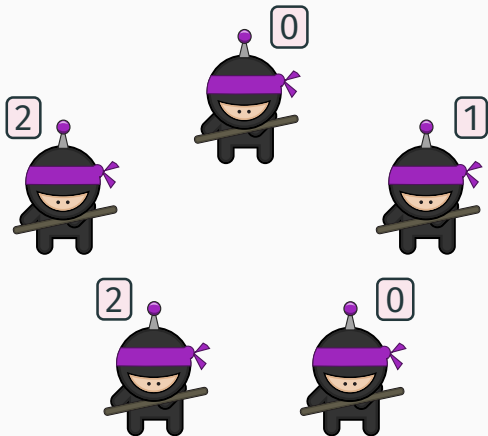
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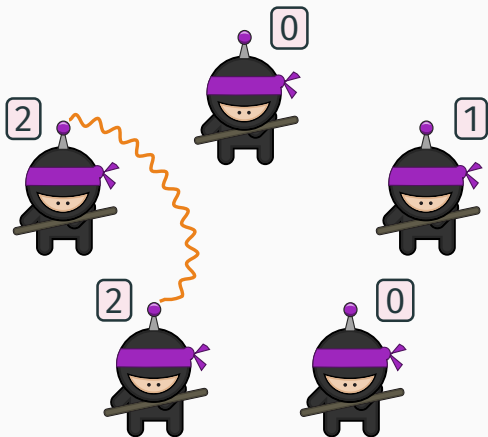
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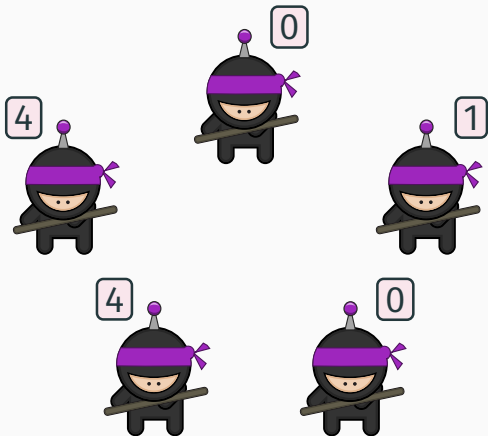
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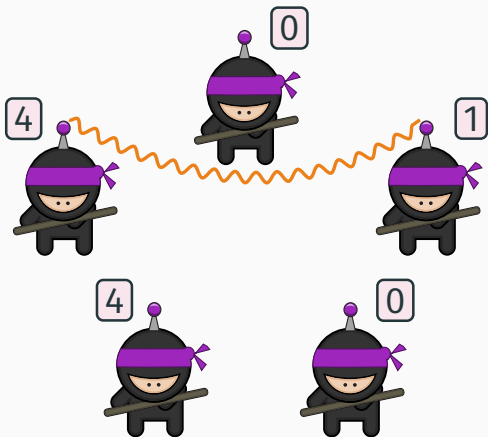
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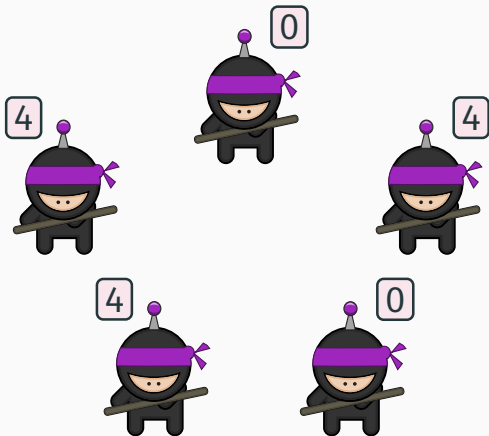
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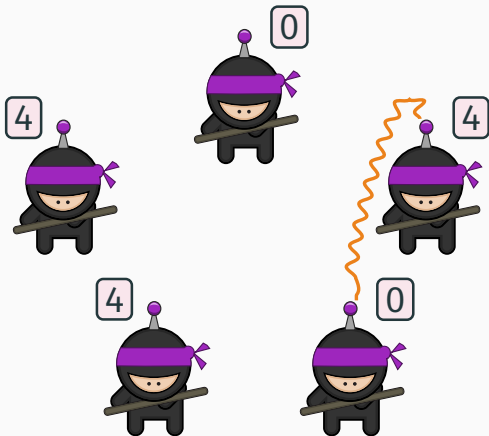
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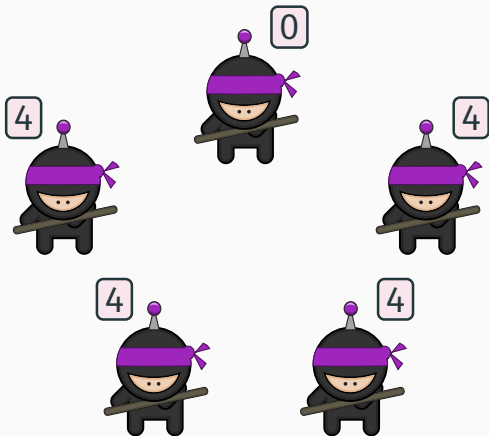
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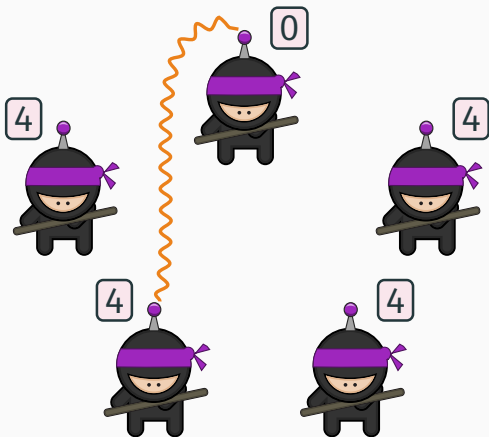
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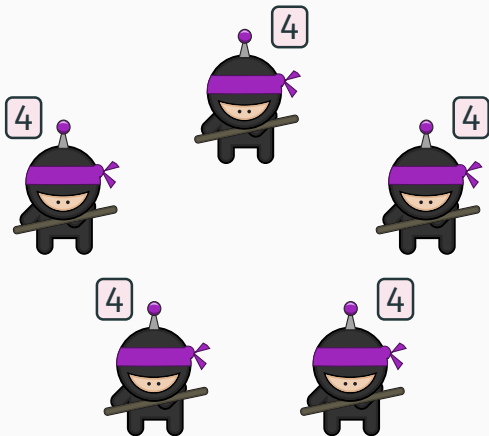
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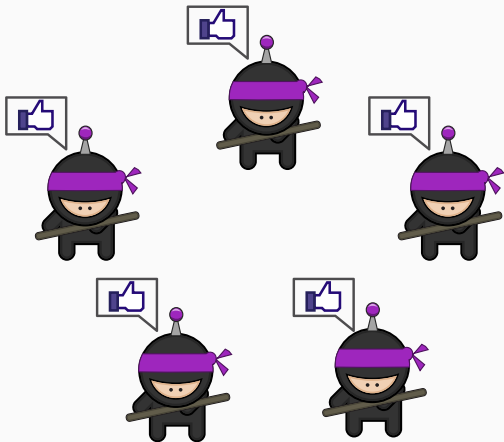
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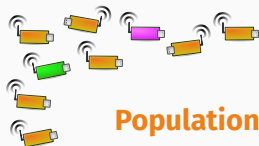


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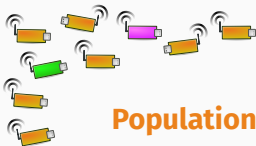
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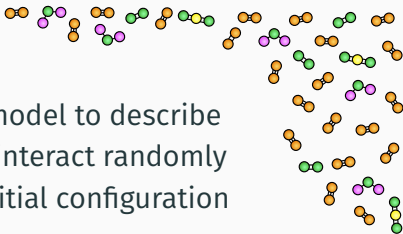


Population protocols: formal model to describe **swarms of mobile agents** that interact randomly to decide a property of their initial configuration



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Examples of properties: Does the initial configuration ...
...contain at least 100 agents?
...contain more agents in state A than in state B ?



Population protocols: formal model to describe **swarms of mobile agents** that interact randomly to decide a property of their initial configuration

Since the late 00s: model of natural computation.

Agents \rightarrow atoms/molecules

Chemical Reaction Networks



An NSF Expedition in Computing (2008-2018)

Molecular Programming Project

Computer science and engineering has mastered complexity for electronic computation — can we do the same for engineering molecular devices and systems?



Learn More

Example works

NUPACK is a growing software suite for nucleic acid systems.

The NUPACK web application currently:

- Analyzes thermodynamic analysis of interacting nucleic acid strands
- Design: single-state and multi-state design for interacting nucleic acid
- Utilities: evaluation, display, equilibrium properties of a set of strands (demos).

NUPACK algorithms are for predicting the structural ensembles of nucleic acid systems.

NUPACK:
nucleic acid package

Chemical reaction networks:

$$D_{j,m}^P + D_{j,p}^P \rightarrow D_{j,m+p}^P$$
$$D_{j,m}^C + D_{j,p}^C \rightarrow D_{j,m+p}^C$$
$$D_{j,m}^P + D_{j,p}^P \rightarrow D_{j,m+p-p-d_j}^P + Y_j^P$$
$$D_{j,m}^C + D_{j,p}^C \rightarrow D_{j,m+p-p-d_j}^C + Y_j^C$$

These reactions complete in expected time $O(n)$.

Following unimolecular reactions:

$$D_{j,1}^P \rightarrow Y_j^P$$
$$D_{j,1}^C \rightarrow Y_j^C$$

Leaderless deterministic
chemical reaction networks

Diagram illustrating the assembly of a molecular structure over time:

- 0 min: Initial state
- 48 min: Intermediate assembly
- 160 min: Further assembly
- 280 min: More complex assembly
- 360 min: Near-complete assembly
- 480 min: Final assembled structure

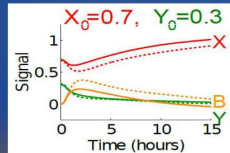
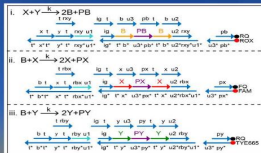
gro: the cell
programming language

DNA Implementation of the Approximate Majority algorithm

nature
nanotechnology

Programmable chemical controllers made from DNA

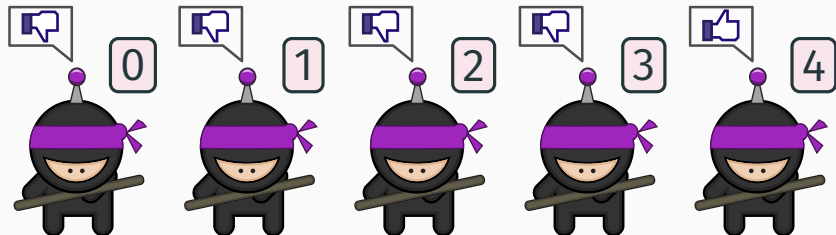
Yuan-Jyue Chen, Neil Dalchau, Niranjan Srinivas, Andrew Phillips, Luca Cardelli, David Soloveichik & Georg Seelig



- States: finite set Q
- Opinions: $O : Q \rightarrow \{ \text{thumbs up}, \text{thumbs down} \}$
- Initial states: $I \subseteq Q$
- Transitions: $T \subseteq Q^2 \times Q^2$



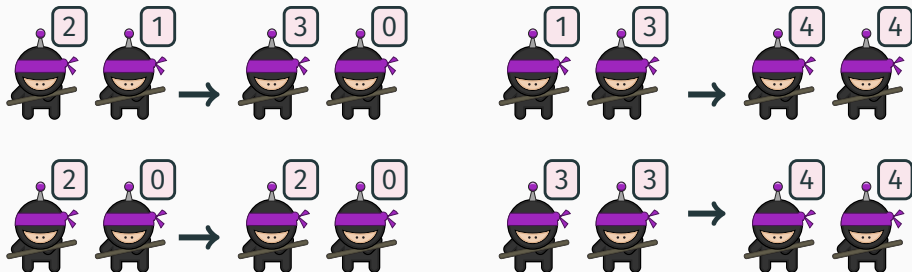
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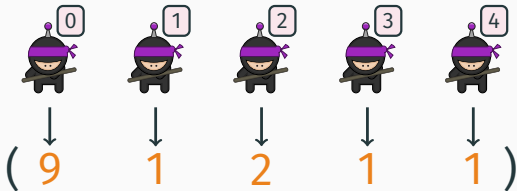
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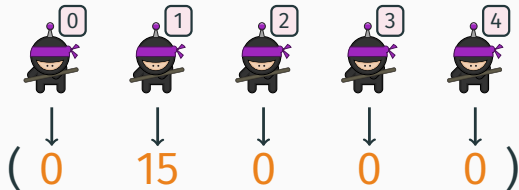
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- Configurations: $Q \rightarrow \mathbb{N}$

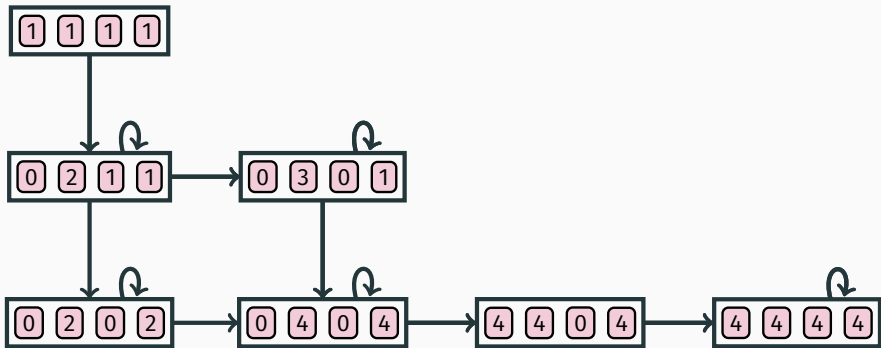


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Reachability graph for an initial configuration

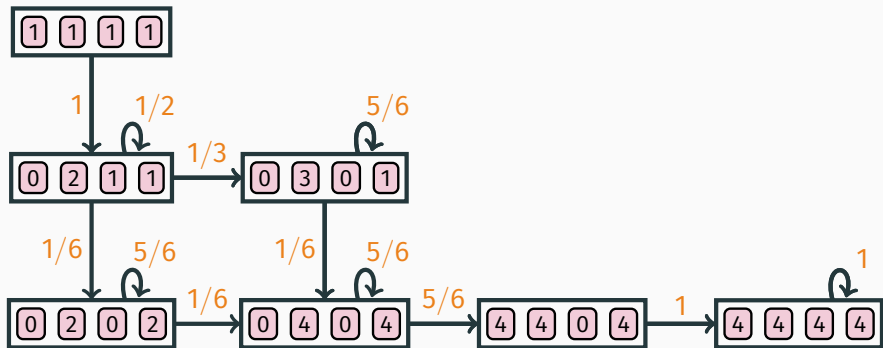
Reachability graph for



Markov chain for an initial configuration

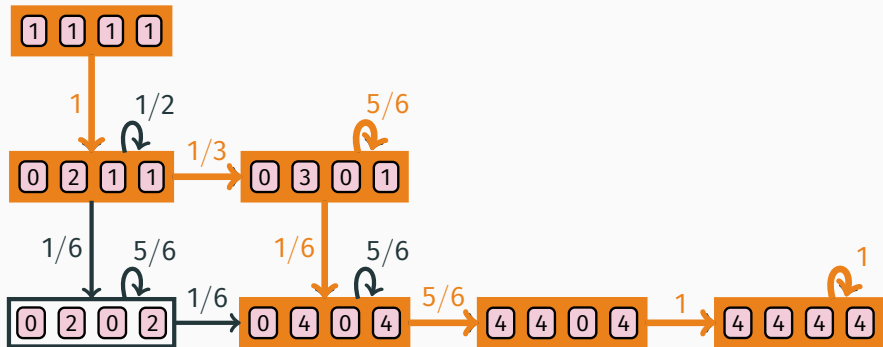
Underlying Markov chain:

(pairs of agents are picked uniformly at random)



Runs

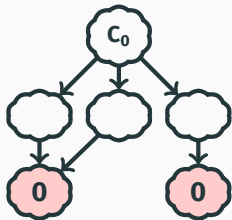
Run: infinite path from initial configuration




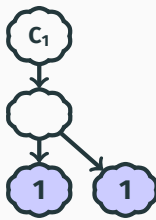
Predicate decided (computed) by a protocol

Protocol decides $\varphi: \text{InitC} \rightarrow \{0, 1\}$:

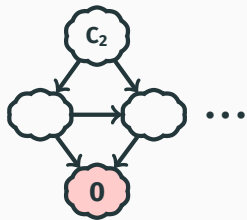
for every $C \in \text{InitC}$, the runs starting at C reach **stable consensus** $\varphi(C)$ with probability 1.



All agree to 



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Predicate decided (computed) by a protocol

Protocol decides $\varphi: \text{InitC} \rightarrow \{0, 1\}$:

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Our protocol decides the predicate $\mathbf{x} \geq \mathbf{4}$

The quest for succinct protocols

Protocol for $x \geq c$

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→ $c + 1$ states
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in $\log c$, the length of $x \geq c$*

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State complexity of $x \geq c$:
minimal number of states
of a protocol deciding it.

Why care about state complexity?

PPs as a model for natural computing
(**chemical reaction networks**):

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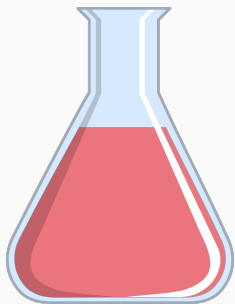
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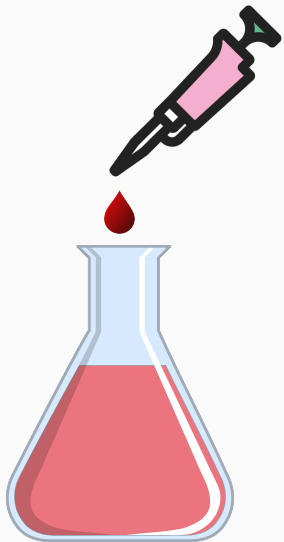
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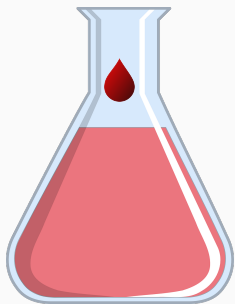
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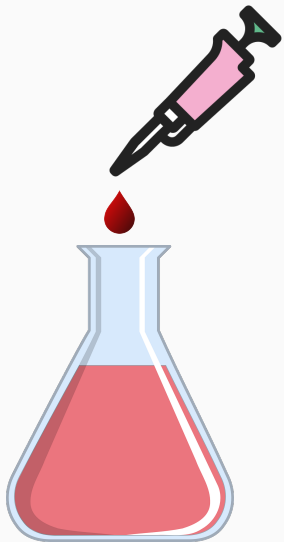
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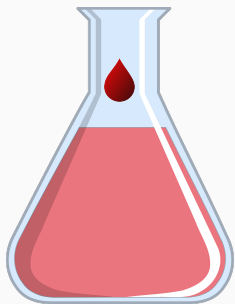
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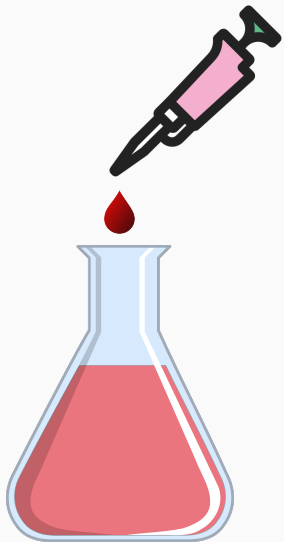
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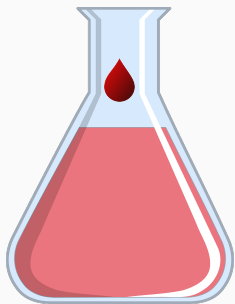
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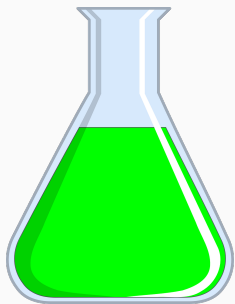
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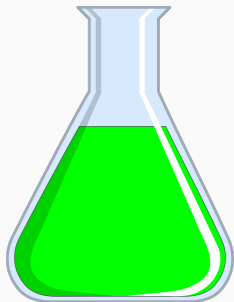


Why care about state complexity?



Color should change when the number of molecules in the flask reaches c .

We need to implement a protocol for $x \geq c$.



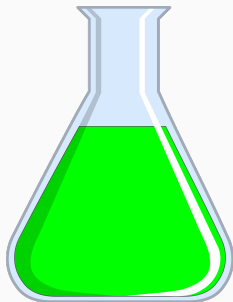
Why care about state complexity?



Color should change when the number of molecules in the flask reaches c .

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Avogadro's number is $\sim 6 \times 10^{23}$, so we need the protocol for $c \sim 2^{60}$.



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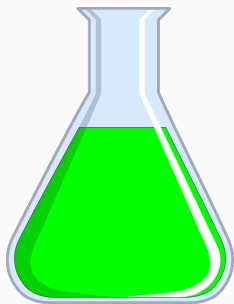
We need to implement a protocol for $x \geq c$.

Avogadro's number is $\sim 6 \times 10^{23}$, so we need the protocol for $c \sim 2^{60}$.

But in chemical reaction networks

states = # chemical species

We need **2^{60} species.**



The quest for succinct protocols

Protocol for $x \geq 2^k$

- States: $\{0, 1, 2, \dots, 2^k\}$
→ $2^k + 1$ states
- Initially, all agents
in state 1
- $(m, n) \mapsto (m + n, 0)$
if $m + n < 2^k$
- $(m, n) \mapsto (2^k, 2^k)$
if $m + n \geq 2^k$

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Protocol for $x \geq 2^k$

- States: $\{0, 2^0, \dots, 2^{k-1}, 2^k\}$
→ $k + 2$ states
- Initially, all agents
in state 2^0
- $(2^\ell, 2^\ell) \mapsto (2^{\ell+1}, 0)$
if $\ell + 1 \leq k$
- $(2^k, n) \mapsto (2^k, 2^k)$

The quest for succinct protocols

Protocol for $x \geq 2^k$

Extensible to arbitrary
 $x \geq c$ predicates: $\mathcal{O}(\log c)$
states (not totally trivial).

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The quest for succinct protocols

Extensible to arbitrary $x \geq c$ predicates: $\mathcal{O}(\log c)$ states (not totally trivial).

Can we do even better?

Is $\mathcal{O}(\log \log c)$ possible?

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The quest for succinct protocols

Not for every \mathbf{c} ...

Blondin, E., Jaax STACS'18

There exist infinitely many \mathbf{c} such that every protocol for $\mathbf{x} \geq \mathbf{c}$ has at least $(\log \mathbf{c})^{1/4}$ states

The quest for succinct protocols

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There exist infinitely many \mathbf{c} such that every protocol for $\mathbf{x} \geq \mathbf{c}$ has at least $(\log \mathbf{c})^{1/4}$ states

...but for infinitely many \mathbf{c} , if we allow **leaders**.

A protocol with a leader for $x = y$

Initially ninjas are blue or red.

Question to be decided: same number of blue and red ninjas?

One leader helps the ninjas. Leader searches for pairs of blue-red ninjas, “neutralizing them”, until no such pairs left.

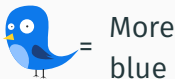
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Leader states:



Ninja states:



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Leader states:



Ninja states:



A protocol with a leader for $x = y$

Transitions:



The quest for succinct protocols

Blondin, E., Jaax STACS'18

For infinitely many \mathbf{c} there is a protocol with a leader and $\mathcal{O}(\log \log \mathbf{c})$ states that computes $\mathbf{x} \geq \mathbf{c}$

The quest for succinct protocols

Blondin, E., Jaax STACS'18

For infinitely many c there is a protocol with a leader and $\mathcal{O}(\log \log c)$ states that computes $x \geq c$

Proof:

Mayr and Meyer '82: For every n there is a reversible Petri net of size $O(n)$ and two places s, t such that the shortest firing sequence leading from s to t has length $\Theta(2^{2^n})$







The quest for succinct protocols

Blondin, E., Jaax STACS'18

For infinitely many c there is a protocol with a leader and $O(\log \log c)$ states that computes $x \geq c$

Proof:

For every n there is a reversible protocol with a leader and $O(n)$ states and transitions s.t.

-  ,  are two states of the leader,
-  is the initial state of the normal agents, and
- The leader may move from  to  iff the number of  is at least 2^{2^n}







The quest for succinct protocols

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-  ,  are two states of the leader,
-  is the initial state of the normal agents, and
- The leader may move from  to  iff the number of  is at least 2^{2^n} → **by reversibility it eventually will!**

The quest for succinct protocols


Blondin, E., Jaax STACS'18

For infinitely many c there is a protocol with a leader and $\mathcal{O}(\log \log c)$ states that computes $x \geq c$

Proof:

Add transitions $\text{robot}, q \mapsto \text{robot}, \text{robot}$ for every state q

allowing leader to “attract” all other agents to robot .

Make robot the only  state.


The quest for succinct protocols



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Make robot the only  state.

At least 2^{2^n} : leader eventually reaches robot w.p.1 and attracts everyone to robot , and so to .


The quest for succinct protocols



Blondin, E., Jaax STACS'18


For infinitely many c there is a protocol with a leader and $\mathcal{O}(\log \log c)$ states that computes $x \geq c$

Proof:

Add transitions $\text{robot}_1, q \mapsto \text{robot}_1, \text{robot}_2$ for every state q allowing leader to “attract” all other agents to robot_1 .

Make robot_1 the only  state.

At least 2^{2^n} : leader eventually reaches robot_1 w.p.1 and attracts everyone to robot_1 , and so to .

Less than 2^{2^n} : leader never reaches robot_1 .

How far can we go?

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Czerner, E., PODC'21

Every protocol for $x \geq c$, with or without leaders, has $\Omega(\alpha(c))$ states, where α is the inverse of (some variant of) the Ackermann function.




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Proof technique for all bounds:

Find numbers a, b such that

- protocol outputs  for a ; and
- if protocol outputs  for $a + b$, then it outputs  for $a + \lambda b$ for every $\lambda \in \mathbb{N}$.

Then protocol outputs  for a and  for $a + b$, which implies $a < c \leq a + b$.




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Existence of a and $a + b$ derived from **Dickson's lemma**.

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Every leaderless protocol for $x \geq c$ has $\Omega(\log \log \log c)$ states.

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Czerner, E., Leroux 21, Submitted

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Czerner, E., Leroux 21, Submitted

Every leaderless protocol for $x \geq c$ has $\Omega(\log \log c)$ states.

Bound on $a + b$ derived from

- **Rackoff's theorem** (used to obtain a **clover** of the set of configurations that are a stable consensus whose elements have double exponential norm).
- **Pottier's small basis theorem** for systems of Diophantine equations.

How far can we go?

Leroux 21, arXiv

Every protocol for $x \geq c$, with or without leaders, has $\Omega((\log \log c)^{1/3})$ states.

How far can we go?

Leroux 21, arXiv

Every protocol for $x \geq c$, with or without leaders, has $\Omega((\log \log c)^{1/3})$ states.

Bound uses all of the above, plus some stuff I'll leave to Jérôme ...

This far we've come

Summary

For every \mathbf{c} , there is a leaderless protocol with $\mathcal{O}(\log \mathbf{c})$ states.

For every \mathbf{c} , every protocol, with or without a leader, has $\Omega((\log \log \mathbf{c})^{1/3})$ states.

For infinitely many \mathbf{c} , there is a protocol with a leader with $\mathcal{O}(\log \log \mathbf{c})$ states.

Open question: Are there leaderless protocols with $\mathcal{O}(\log \log \mathbf{c})$ states for infinitely many \mathbf{c} ?

State complexity of general Presburger predicates

Angluin, Aspnes, Eisenstat Dist. Comp.'07

Population protocols decide precisely the predicates definable in Presburger arithmetic, i.e. $\text{FO}(\mathbb{N}, +, <)$

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Population protocols decide precisely the predicates definable in Presburger arithmetic, i.e. $\text{FO}(\mathbb{N}, +, <)$

PPs for all Presburger predicates

Using that Presburger arithmetic has quantifier elimination, Angluin et al. proceed as follows:

1) Exhibit PPs for **threshold** and **modulo** predicates

$$a_1x_1 + \dots + a_kx_k \leq b \quad a_1x_1 + \dots + a_kx_k \equiv b \pmod{c}$$

2) Show that predicates decidable by PPs are closed under negation and conjunction

State complexity of general Presburger predicates

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Population protocols decide precisely the predicates definable in Presburger arithmetic, i.e. $\text{FO}(\mathbb{N}, +, <)$

Exponential state complexity in both

- the number of bits of the coefficients, and
- the number of threshold and modulo predicates.

State complexity of general Presburger predicates

Angluin, Aspnes, Eisenstat Dist. Comp.'07

Population protocols decide precisely the predicates definable in Presburger arithmetic, i.e. $\text{FO}(\mathbb{N}, +, <)$

Can polynomial state complexity be achieved?

A protocol for $x - y \geq 2^k$ with $O(k)$ states

Protocol for $x \geq 2^k$

States: $\{0, 2^0, \dots, 2^k\}$

Initially: all ninjas in state **1**

$$(2^\ell, 2^\ell) \mapsto (2^{\ell+1}, 0)$$

if $\ell + 1 \leq k$

$$(2^k, n) \mapsto (2^k, 2^k)$$

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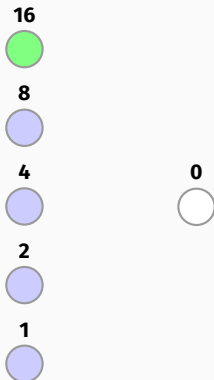
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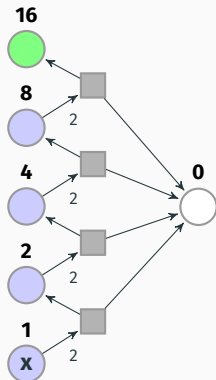
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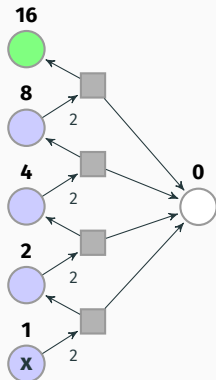
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*A ninja that
"climbs the ladder"
attracts all others to
the top*



A protocol for $x - y \geq 2^k$ with $O(k)$ states

Protocol for $x - y \geq 2^k$

States: $\{-1, 0, 2^0, \dots, 2^k\}$

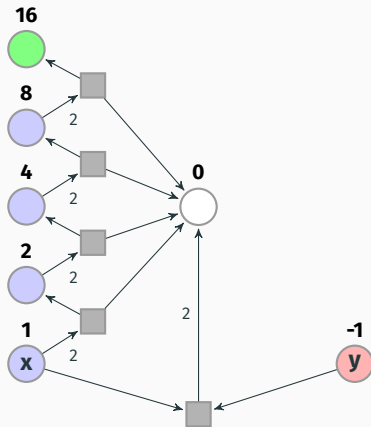
Initially: x, y ninjas in $1, -1$

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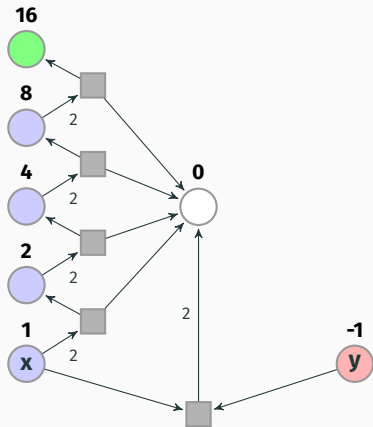
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*Not yet
correct!*



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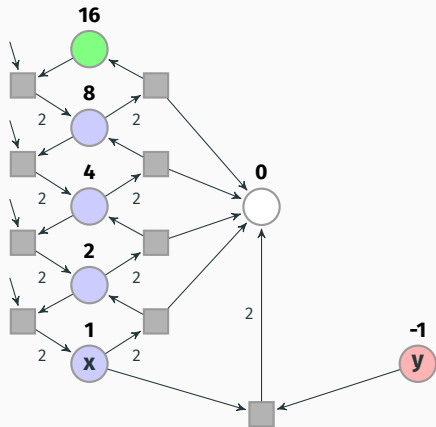
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if $\ell \leq 1$



All predicates have polynomial state complexity

Blondin, E., Genest, Helfrich, Jaax STACS'20

Every predicate φ of quantifier-free Presburger arithmetic can be decided by a leaderless protocol with a polynomial number of states in $|\varphi|$.

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Every predicate φ of quantifier-free Presburger arithmetic can be decided by a leaderless protocol with a polynomial number of states in $|\varphi|$.

Construction

Quite sophisticated “protocol engineering” !

- 1) Use “up and down” ladders plus other constructions to give PPs for threshold and modulo predicates with polynomial number of states.
- 2) Given protocols with sets of states n_1 and n_2 for φ_1 and φ_2 , construct a protocol for $\varphi_1 \wedge \varphi_2$ with $\mathcal{O}(n_1 + n_2)$ states using protocols with *reversible dynamic initialization*.

But are they fast ... ?

Protocol for $x - y \geq 2^k$

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Initially: x, y ninjas in $1, -1$

$(2^\ell, 2^\ell) \mapsto (2^{\ell+1}, 0)$

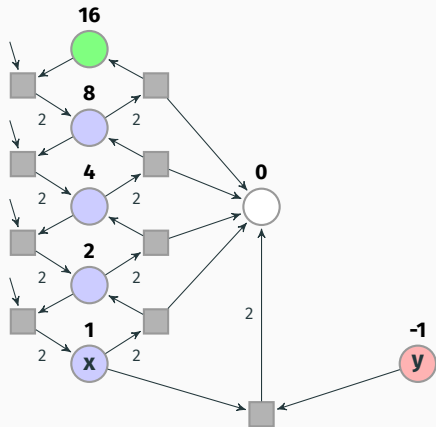
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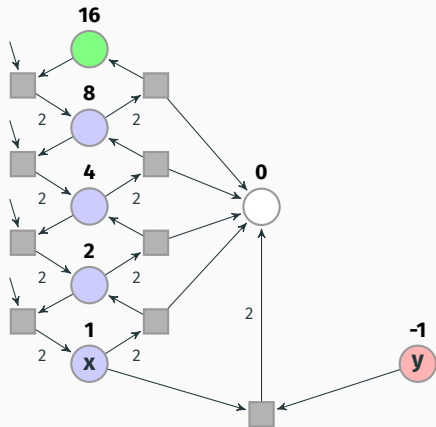


But are they fast ... ?

Very slow!

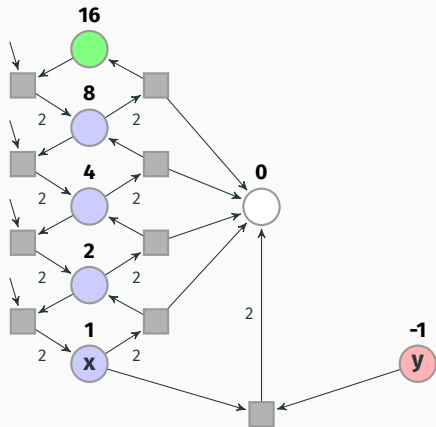
Exponential expected
time to convergence
in the number of ninjas.

Protocols of Angluin et al.
run in $\mathcal{O}(n \log n)$ time.



But are they fast ... ?

Are there
fast and succinct
protocols for
all Presburger
predicates?



Two years and 50 pages later ...

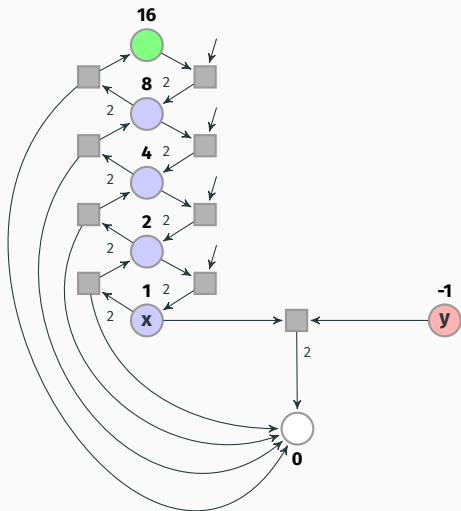
Czerner, Guttenberg, Helfrich, E. Submitted

Every predicate φ of quantifier-free Presburger arithmetic can be decided by a leaderless protocol

- with $|\varphi|$ states,
- running in $\mathcal{O}(n)$ expected time for all inputs of size $\Omega(|\varphi|)$.

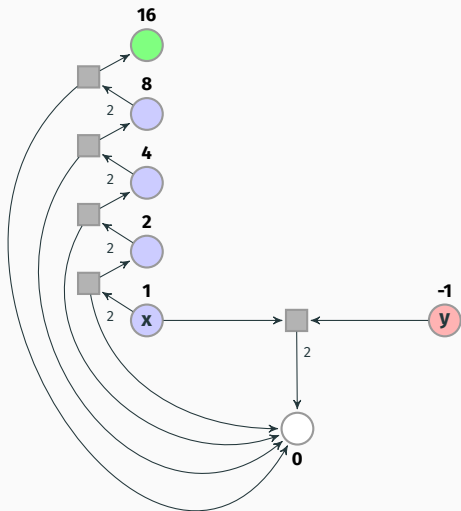
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One of the ideas ...



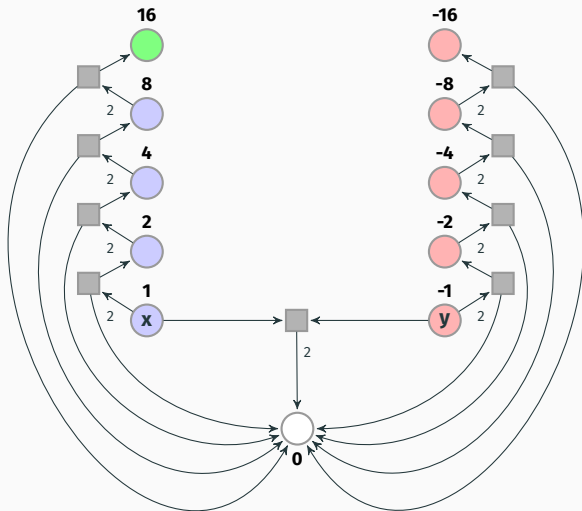
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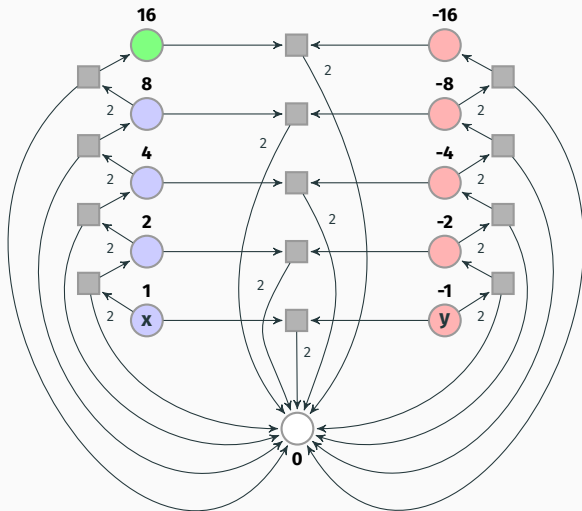
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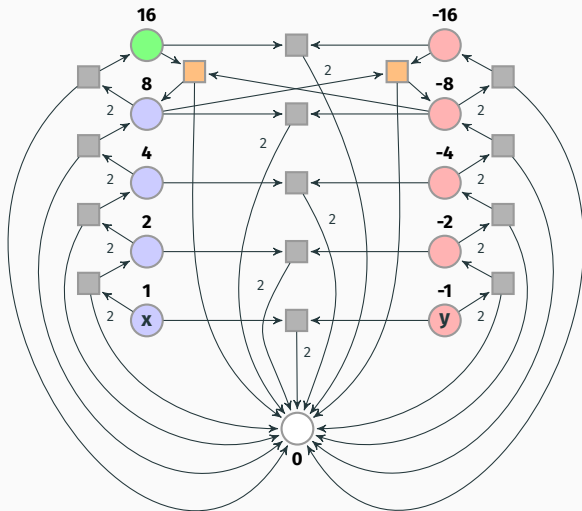
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Two years and 50 pages later ...

One of the ideas ...



State complexity of population protocols is a fundamental question of distributed computation:

- Crucial for applications in natural computing
- Limits of collective knowledge
- Role of leaders

State complexity of counting predicates $x \geq c$

Leaderless protocols

- $\Omega((\log \log c)^{1/3})$ and $\mathcal{O}(\log c)$ states.
- Not known if $\Theta((\log \log c)^{1/3})$ achievable for some family of c .

State complexity of counting predicates $x \geq c$

Protocols with a leader

- $\Omega((\log \log c)^{1/3})$ and $\mathcal{O}(\log c)$ states.
- $\Theta(\log \log c)$ for infinitely many c .

Succinct protocols for Presburger predicates:

	States	Expected time
Angluin et al. '04	$2^{\Theta(\varphi)}$	$\Theta(n \log n)$
Blondin et al. '20	$\text{poly}(\varphi)$	$2^{\Omega(n)}$
Czerner et al. '21	$\Theta(\varphi)$	$\Theta(n)$ for inputs of size $\Omega(\varphi)$



THANK YOU!